PATENT COOPERATION TREATY





From the

INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

To:

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PATENT RECORDS

NOTIFICATION OF TRANSMITTAL OF THE INTERNATIONAL PRELIMINARY EXAMINATION REPORT (PCT Rule 71.1)

Date of mailing

(day/month/year)

29.01.2001

Applicant's or agent's file reference

FL1066PCT

IMPORTANT NOTIFICATION

International application No. PCT/US00/07520

International filing date (day/month/year) 22/03/2000

Priority date (day/month/year)

22/03/1999

Applicant

E.I. DU PONT DE NEMOURS AND COMPANY et al.

- 1. The applicant is hereby notified that this International Preliminary Examining Authority transmits herewith the international preliminary examination report and its annexes, if any, established on the international application.
- 2. A copy of the report and its annexes, if any, is being transmitted to the International Bureau for communication to all the elected Offices.
- 3. Where required by any of the elected Offices, the International Bureau will prepare an English translation of the report (but not of any annexes) and will transmit such translation to those Offices.

4. REMINDER

The applicant must enter the national phase before each elected Office by performing certain acts (filing translations and paying national fees) within 30 months from the priority date (or later in some Offices) (Article 39(1)) (see also the reminder sent by the International Bureau with Form PCT/IB/301).

Where a translation of the international application must be furnished to an elected Office, that translation must contain a translation of any annexes to the international preliminary examination report. It is the applicant's responsibility to prepare and furnish such translation directly to each elected Office concerned.

For further details on the applicable time limits and requirements of the elected Offices, see Volume II of the PCT Applicant's Guide.

Name and mailing address of the IPEA/

European Patent Office D-80298 Munich

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PCT

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applicant's	or agen	t's file reference	T	0. 11.::			
Applicant's or agent's file reference			FOR FURTHER ACTION	See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)			
FL1066PCT							
International			International filing date (day/mo	nınyear)	Priority date (day/month/year) 22/03/1999		
PCT/US0			22/03/2000		22/03/1999		
International Patent Classification (IPC) or national classification and IPC C09K5/04							
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			<u> </u>				
Applicant	Applicant						
E.I. DU P	ONT [DE NEMOURS AND	COMPANY et al.				
1. This in	ternat	ional preliminary exami	nation report has been prepa	red by this Int	ernational Preliminary Examining Authority		
		nitted to the applicant a			•		
2. This R	EPOR	T consists of a total of	5 sheets, including this cove	r sheet.			
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					on, claims and/or drawings which have ectifications made before this Authority		
			07 of the Administrative Instru				
			-1				
These	annex	es consist of a total of	sneets.				
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3. This re	eport c	ontains indications rela	ting to the following items:				
I	_	Basis of the report	•				
		Priority					
			pinion with regard to novelty,	inventive step	and industrial applicability		
IV V		Lack of unity of invention		to povelty in	ventive step or industrial applicability;		
\			ons suporting such statement		remitte step of moustrial applicability,		
VI		Certain documents cite	ed				
VII		Certain defects in the ir	nternational application				
VIII	⊠ (Certain observations or	n the international application				
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Date of submission of the demand Date				of completion of	of this report		
29/09/2000				1.2001			
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		address of the internationa ing authority:	Auth	orized officer	SEPHEDES MELICAN		
<u> </u>	Europ	ean Patent Office			(Marie Mari		
<i>9</i>))		98 Munich 49 89 2399 - 0 Tx: 523656		e Scheper, B			
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INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/US00/07520

 Basis of the report 	l.	Basis	of the	rep	oor	t
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1.	res _i the	This report has been drawn on the basis of (substitute sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to the report since they do not contain amendments (Rules 70.16 and 70.17).): Description, pages:							
	1-3	5	as originally filed						
	Cla	Claims, No.:							
	1-5		as originally filed						
	With regard to the language , all the elements marked above were available or furnished to this Authority in the language in which the international application was filed, unless otherwise indicated under this item.								
	The	se elements were a	vailable or furnished to this Authority in the following language: , which is:						
		the language of a t	ranslation furnished for the purposes of the international search (under Rule 23.1(b)).						
		the language of pu	blication of the international application (under Rule 48.3(b)).						
		the language of a t 55.2 and/or 55.3).	ranslation furnished for the purposes of international preliminary examination (under Rule						
3.			leotide and/or amino acid sequence disclosed in the international application, the y examination was carried out on the basis of the sequence listing:						
		contained in the int	ternational application in written form.						
		filed together with	the international application in computer readable form.						
		furnished subsequ	ently to this Authority in written form.						
		furnished subsequ	ently to this Authority in computer readable form.						
			the subsequently furnished written sequence listing does not go beyond the disclosure in oplication as filed has been furnished.						
		The statement that listing has been full	the information recorded in computer readable form is identical to the written sequence raished.						
4.	The	amendments have	resulted in the cancellation of:						
		the description,	pages:						
		the claims,	Nos.:						
		the drawings,	sheets:						
5.		This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed (Rule 70.2(c)):							

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/US00/07520

(Any replacement sheet containing such amendments must be referred to under item 1 and annexed to this report.)

- 6. Additional observations, if necessary:
- V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- 1. Statement

Novelty (N)

Yes:

Claims 1-5

No:

Claims

Inventive step (IS)

Yes:

Claims 1-5

No:

Claims

Industrial applicability (IA)

Yes:

Claims 1-5

No: Claims

2. Citations and explanations see separate sheet

VIII. Certain observations on the international application

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made: see separate sheet

Re Item V

Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

Reference is made to the following document/s/:

- D1: US-A-5 196 137 (MERCHANT ABID N) 23 March 1993 (1993-03-23) cited in the application
- D2: WO 97 41189 A (DU PONT) 6 November 1997 (1997-11-06)
- D3: WO 99 02616 A (DEGROOT RICHARD J ;GREAT LAKES CHEMICAL CORP (US)) 21 January 1999 (1999-01-21) cited in the application
- D4: EP-A-0 894 851 (ATOCHEM ELF SA) 3 February 1999 (1999-02-03)
- D5: US-A-5 478 492 (BARTHELEMY PIERRE ET AL) 26 December 1995 (1995-12-26) cited in the application
- D6: EP-A-0 856 578 (ATOCHEM ELF SA) 5 August 1998 (1998-08-05)
- D7: EP-A-0 851 016 (AEROSPATIALE ;ATOCHEM ELF SA (FR)) 1 July 1998 (1998-07-01)
- D8: DATABASE WPI Section Ch, Week 199350 Derwent Publications Ltd., London, GB; Class E16, AN 1993-400552 XP002141722 & JP 05 302098 A (ASAHI GLASS CO LTD), 16 November 1993 (1993-11-16) cited in the application
- 1. The present application relates to an azeotrope-like 1,1,1,3,3-pentafluorobutane (HFC-365mfc) containing compositions (claims 1-2), a process for cleaning a surface (claim 3), a process for producing refrigeration (claim 4), and a process for producing heat (claim 5).
- 2. Prior art.
- 2.1 The documents D1-D3, and D6 disclose azeotropic/azeotropic-like mixtures comprising 1,1,1,2,3,4,4,5,5,5-decafluoropentane (HFC-43-10mee).
 - These documents do neither cite 1,1,1,3,3-pentafluorobutane (HFC-365mfc), nor nonafluoromethoxybutane.

- 2.2 D4, D5 and D8 disclose azeotropic-like or solvent mixtures comprising 1,1,1,3,3-pentafluorobutane (HFC-365mfc).
 - These documents do neither cite 1,1,1,2,3,4,4,5,5,5-decafluoropentane (HFC-43-10mee), nor nonafluoromethoxybutane.
- 2.3 D7 discloses water-repellent compositions comprising polysiloxanes and a fluoro containing compound. Said compound may be 1,1,1,3,3-pentafluorobutane (HFC-365mfc), 1,1,1,2,3,4,4,5,5,5-decafluoropentane (HFC-43-10mee), or nonafluoromethoxybutane (claim 1, Table 1). Although it is indicated that mixtures of the fluoro-containing compound may be used, no examples are given. The application is silent with respect to any possible use in azeotropic-like compositions.
- 3. None of the available prior art documents disclose the subject-matter of claims 1-5 on file (Art. 33(2) PCT).
- 4. Since none of the prior art documents neither suggest, nor provide the skilled person with an incentive to obtain the azeotropic-like compositions as presently claimed, the subject-matter of the claims on file is considered to be not obvious in accordance with Art. 33(3) PCT.
- 5. The present application satisfies the criterion set forth in Article 33 (4) PCT because the subject matter of Claims 1-5 is industrially applicable.

Re Item VIII

Certain observations on the international application

The definitions of azeotropic-like described on page 12, lines 24-32 do not fall
within the scope of the claims. The claims define clearly the definition of
azeotrope-like, which is also expressed on page 12, line 33 to page 13, line 3).
 This inconsistency between the claims and the description leads to doubt concerning the matter for which protection is sought, thereby rendering the claims unclear (Article 6 PCT).